



# Prepare for Oracle Database 23c

Prepare to Upgrade to Oracle Database 23c  
Sprinkles of Oracle Database 23c New Features

New York Oracle User Group  
May 2023



Charles Kim

**Oracle ACE Director | CEO**

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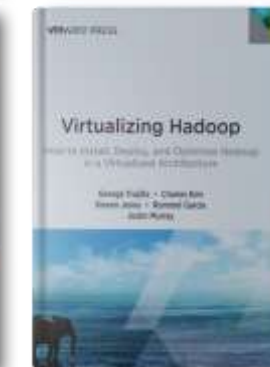
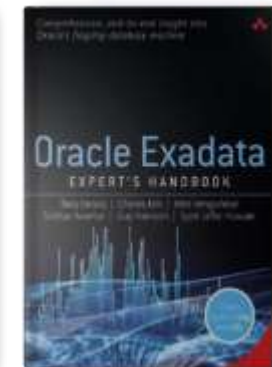
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# Charles Kim



## Oracle ACE Director

- Oracle ACE Director
- Founder and CEO of Viscosity
- Author of **11 Books** in the Oracle Ecosystem
- Oracle Expertise: Mission Critical Databases:  
Exadata, RAC, Data Guard, ASM, RMAN, Shareplex/GoldenGate
- Specialize in **“Complex Data Replication & Integration”** with **Shareplex & GG**
- Oracle Management Cloud Certified
- Oracle Exadata Certified Implementation Specialist
- Oracle Certified RAC Expert
- Oracle Linux Certified Expert
- Former President of the Oracle Cloud SIG for several years



# Viscosity's Oracle ACEs

## The Oracle ACE Program



The Oracle ACE Program recognizes and rewards individuals for their contributions to the Oracle community.



**Charles Kim**  
CEO | Co-Founder

@racdba  
 ACE Director



**Rich Niemiec**  
Chief Innovation Officer

@richniemiec  
 ACE Director



**Craig Shallahamer**  
Applied AI Scientist

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**Sean Scott**  
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 ACE Director

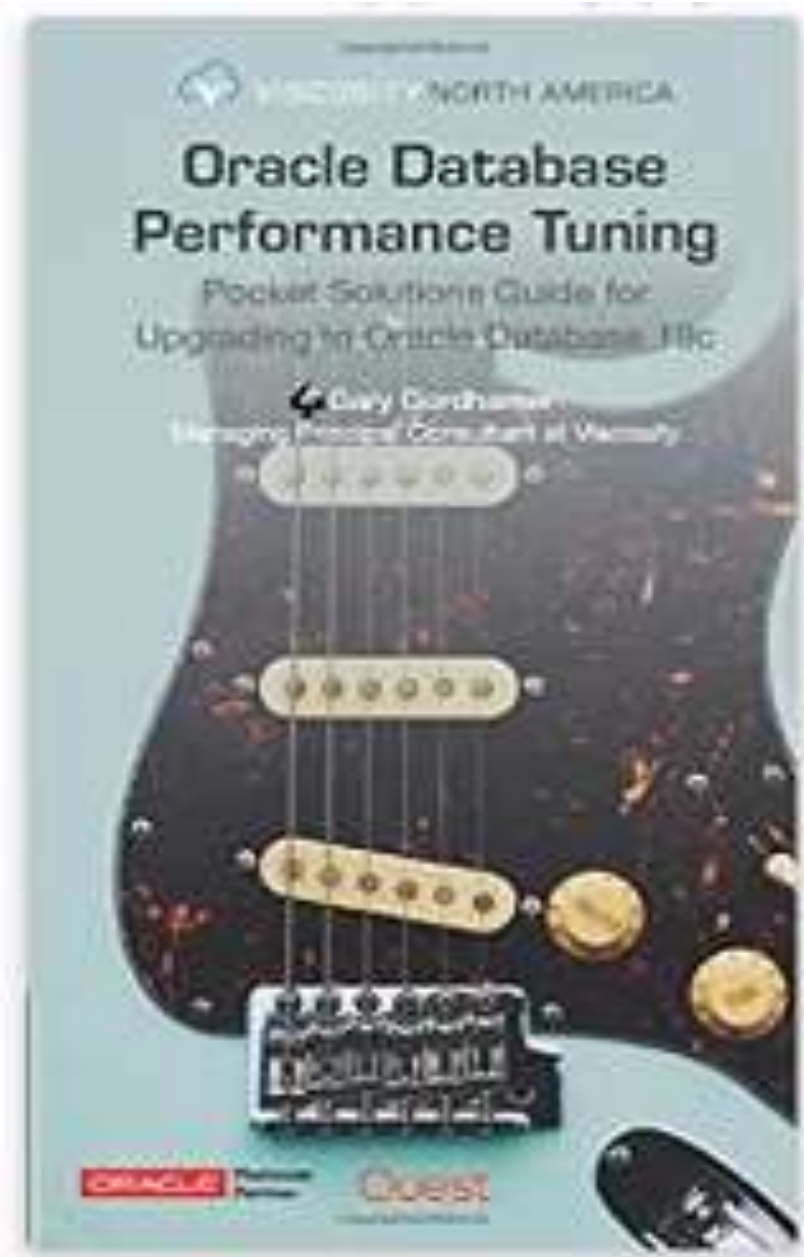


**Gary Gordhamer**  
Principal Consultant

@ggordham  
 ACE Pro

**Julio Ayapan**  
Principal Consultant  
Oracle ACE  
Alumni





**Get Your Own Signed Copy**

# Upgrading to Oracle Database 23c

**Updating both books for Oracle Database 23c**

Big Focus on AutoUpgrade  
Performance Tuning  
Additional 23c New Features

# ORACLE®

## DATABASE APPLIANCE

12:00 EST

[SOUG.ORG](http://SOUG.ORG). May 25<sup>th</sup>:

**Oracle Database Appliance (ODA)** is Oracle's entry level engineered systems product designed by Oracle's database group. It's designed to be simple, optimized to run databases, and affordable. The **ODA** has many features and capabilities that are not available on any other platform.

In this webcast, we will go over **Oracle Database Appliance**'s latest updates and recent innovations that makes the **ODA** a great platform for Oracle customers for both databases and applications. These enhancements improve the performance, scalability, and security of **ODA**. Join us to learn how these new features and capabilities can benefit your organization.

# Webinar: Innovations in ODAs



# Evolution of the Oracle DBA



Kind of DBA	Timeline
CLI DBA	Early 90's DBAs
GUI DBA (Right Click DBAs)	Late 90's and Dot Com
Google DBA (Developers)	Dot Com and 2000's
iDBA	Dot Com, IOUG iDBA Master Curriculum
RAC DBAs (MAA DBAs)	2000+ after 9.2 (but major spike with 10.2) + <b>Data Guard</b>
DMA	2010+ Database Machine Administrator
vDBA / vRAC DBA	2010+ Evolving role of a DBA in the virtual world
Cloud DBA	2011+ Database Consolidation with <a href="#">Private Database Cloud</a> Oracle Database 12c Launches June 2013
Public Cloud DBA	2015+ Oracle Public Cloud with Database Cloud Service, Database Backup Cloud Service, Storage Cloud Service, IaaS Cloud Service
PDBAs	2017+ Multi-Tenant with Oracle Database 12c Release 2 GA – March 2017
Oracle 18c	February 2018 in Oracle Cloud, July 2018 On-Premise
<b>Oracle 19c</b>	<b>January 2019 - LiveSQL</b> <b>February 2019 for on-premises Exadata; April 2019 General Release (Terminal Release or Long Term Release)</b>
<b>Oracle 20c</b>	<b>Dead! Made preview in the cloud but didn't get GA</b>
<b>Oracle 21c</b>	<b>January 2021 - Preview released in Cloud</b> <b>August 2021 – GA On-Premise</b>
<b>Oracle 22c</b>	<b>Skipped</b>
<b>Oracle 23c</b>	<b>Next Long Term Release</b>

**Converged  
DBA**


“It is not the strongest or the most intelligent who will survive but those who can best manage [adapt to] change.”

The DBA role is not dying.

The DBA role has always been changing.

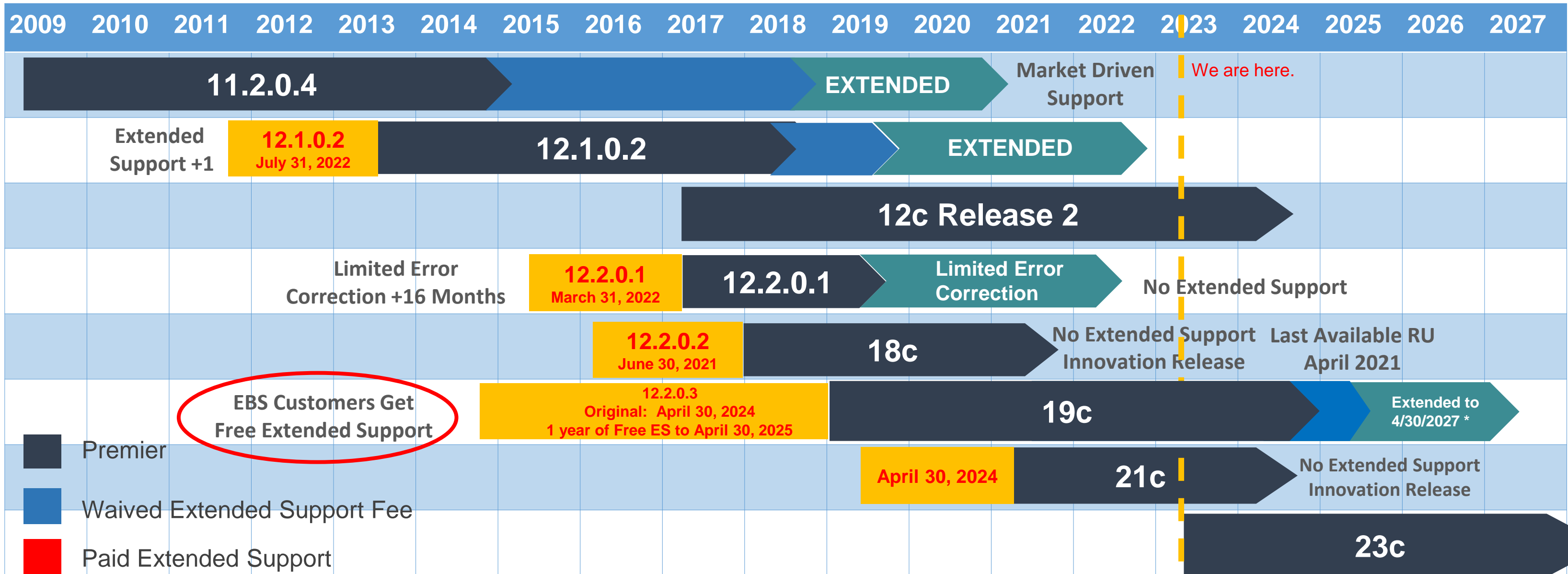


# Oracle Release Dates

Oracle Database 11g Release 1	Aug 2007
Oracle Database 11g Release 2	Sept 2009
Oracle Database 12c Release 1	June 2013
Oracle Database 12c Release 1 (Patchset) - 12.1.0.2	June 2014
Oracle Database 12c Release 2 (Cloud)	September 2016
Oracle Database 12c Release 2 (On-premise)	March 2017
Oracle 18c - "Cloud First"	February 2018
Oracle 18c – Available on Exadata	February 2018
Oracle 18c – Available on ODA	March 2018
Oracle 18c – On-Premise	July 2018
Oracle 19c - Exadata On-Premise	Feb 13, 2019
Oracle 19c - General Availability - Terminal Release	April 2019
<del>Oracle Database 20c Preview Release on OCI Only (R.I.P)</del> 	Feb 14, 2020
Oracle Database 21c on OCI (Cloud First)	December 2020
Oracle Database 21c – On-Premise	August 2021
Oracle Database 21c XE Edition	September 2021
Oracle Database 23c Developer Release	April 3, 2023
Oracle Database 23c EE GA	Q2? 2023

# Lifetime Support Commitments and Plans

Planned Release and Support Timelines - Subject To Change



**EBS Customers Get Free Extended Support**

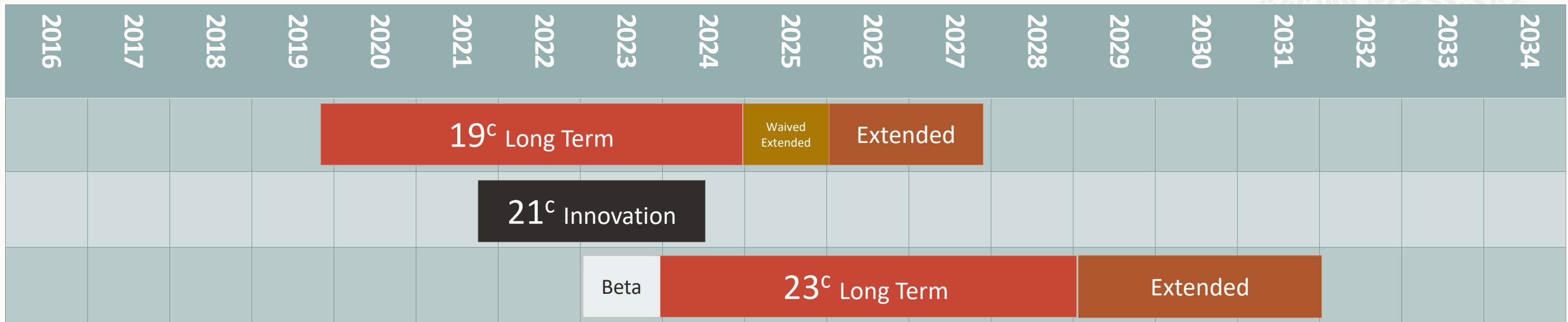
- Premier
- Waived Extended Support Fee
- Paid Extended Support

Oracle Database 19c and 23c releases provide long-term support and extended support

- Innovation Release - 2 years of Premier Support, and no Extended Support
- Long Term Release - 5 years of Premier Support, and 3 years of Extended Support
- Check MOS Note **742060.1** for the latest schedule



# Projected Database Release and Support Timeline



- Innovation Release - 2 years of Premier Support, and no Extended Support
- Long Term Release - 5 years of Premier Support, and 3 years of Extended Support
- Always refer to MOS Note: Release Schedule of Current Database Releases (Doc ID 742060.1)



# Top Reasons Why Customers Upgrade

# To Oracle Database 23c

**New and Improved Database Features**

- **Security**
- Converged Database Enhancements
- **Performance**
- Higher Availability

**Compliance and Regulations**

Long-Term Support

- Extended Support

**Attract Talented People**

- No one wants to work for a shop that is a dinosaur

# What is Your Timeline to Upgrade to 23c

## Database Upgrade Considerations

### Top 10 Questions to ask yourselves:

1. **How long does it take** for your company to upgrade?  
DEV/QA/UAT/PROD How many databases do you have? How many environments do you have?
2. Have you already adopted **PDBs**? Do you have a **complicated** environment? RAC? Exadata?
3. What are the **key new** features that you will adopt as part of the upgrade?
4. Are you **migrating** to the cloud as part of the upgrade?  
Or are you upgrading **hardware** or OS as part of the upgrade? Are you **modernizing** your stack?
5. What were the **biggest pain points** from your last upgrade? How can we mitigate against them?

# What is Your Timeline to Upgrade to 23c

Top 10 Questions to ask yourselves:

6. Will **applications** need to be **updated**? Do we need to factor version compatibility with the new database version?

7. Will ODBC and JDBC **drivers** need to be updated on the clients, ETL servers, application servers?

8. Do you have **database links** to other databases? Do those databases need to be upgraded? Is there compatibility issues? Are you using **heterogeneous** services to SQL Server?

9. Does your organization have the **skillset** to support the upgrade efforts? Does your team have the skillset to support the database (more so the application teams) after the upgrade?

10. Do you have a **test** plan? Do you have **backout** plans?

With compliance and QA teams, how fast can you push the upgrade?





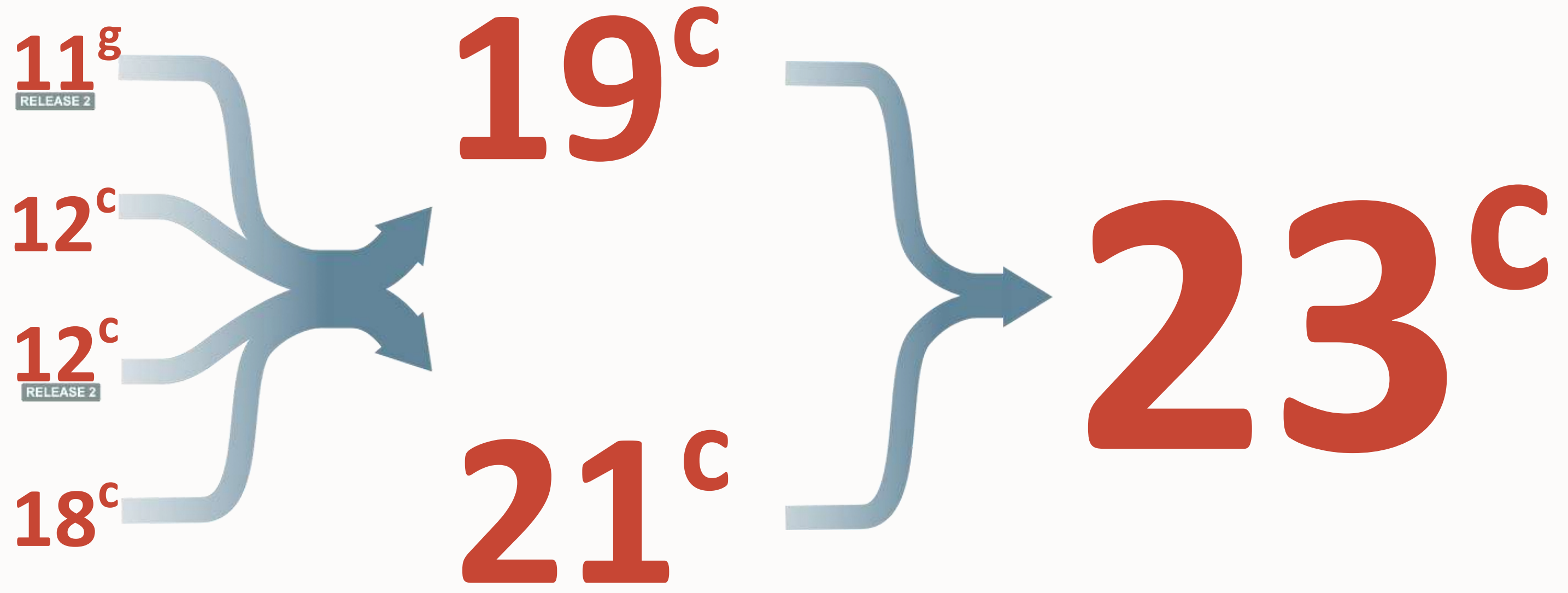


# How do we get to 23c?

Oracle database upgrade paths



# Upgrade Path to Oracle Database 23c



# Upgrade Path to Oracle Database 23c

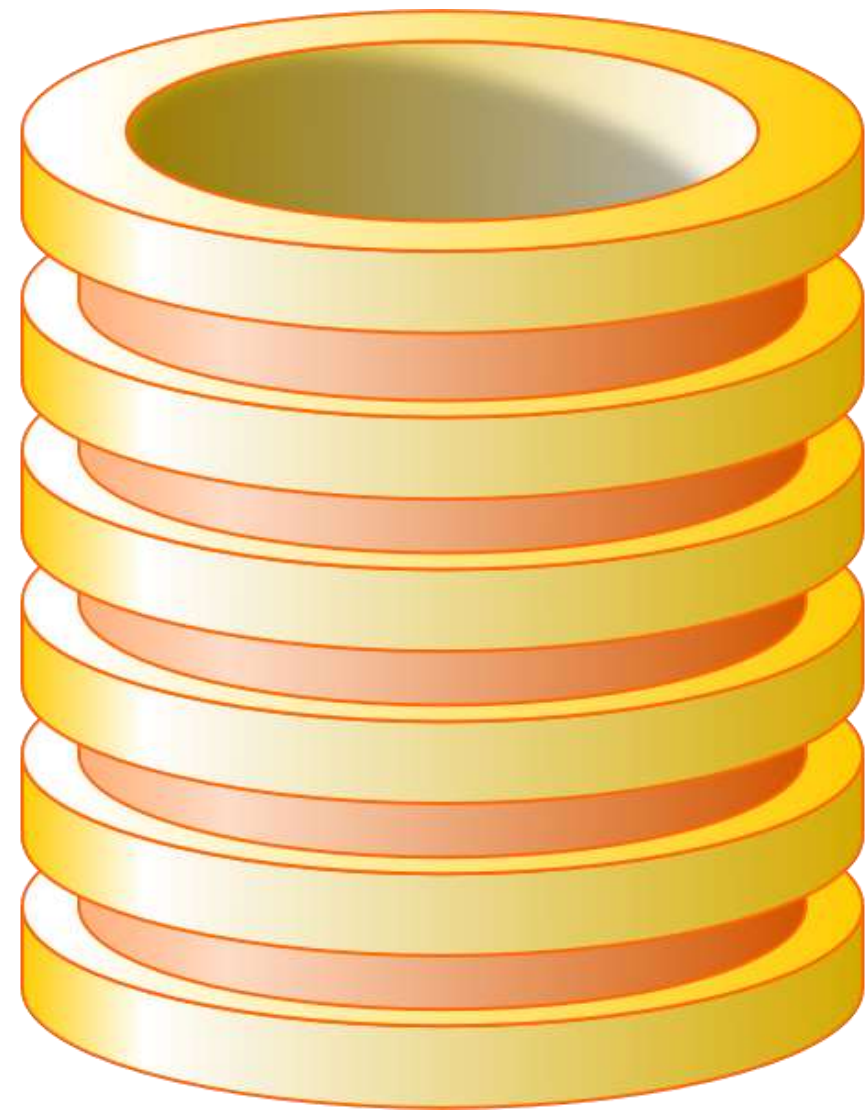
Oracle Database Release	Must Upgrade to Interim Jump	Target Version
11.2.0.4	Oracle Database 19c or Oracle Database 21c	23c
12.1.0.2 (12c Release 1)		
12.2.01 (12c Release 2)		
Oracle Database 18c		



# The Compatible Initialization Parameter

Oracle Database Release	Default Value	Minimum Value
Oracle Database 23c	23.0.0	19.0.0
Oracle Database 21c	21.0.0	12.2.0
Oracle Database 19c	19.0.0	11.2.0
Oracle Database 18c		11.2.0
Oracle Database 12c Release 2 (12.2)		11.2.0





# Upgrade Methods

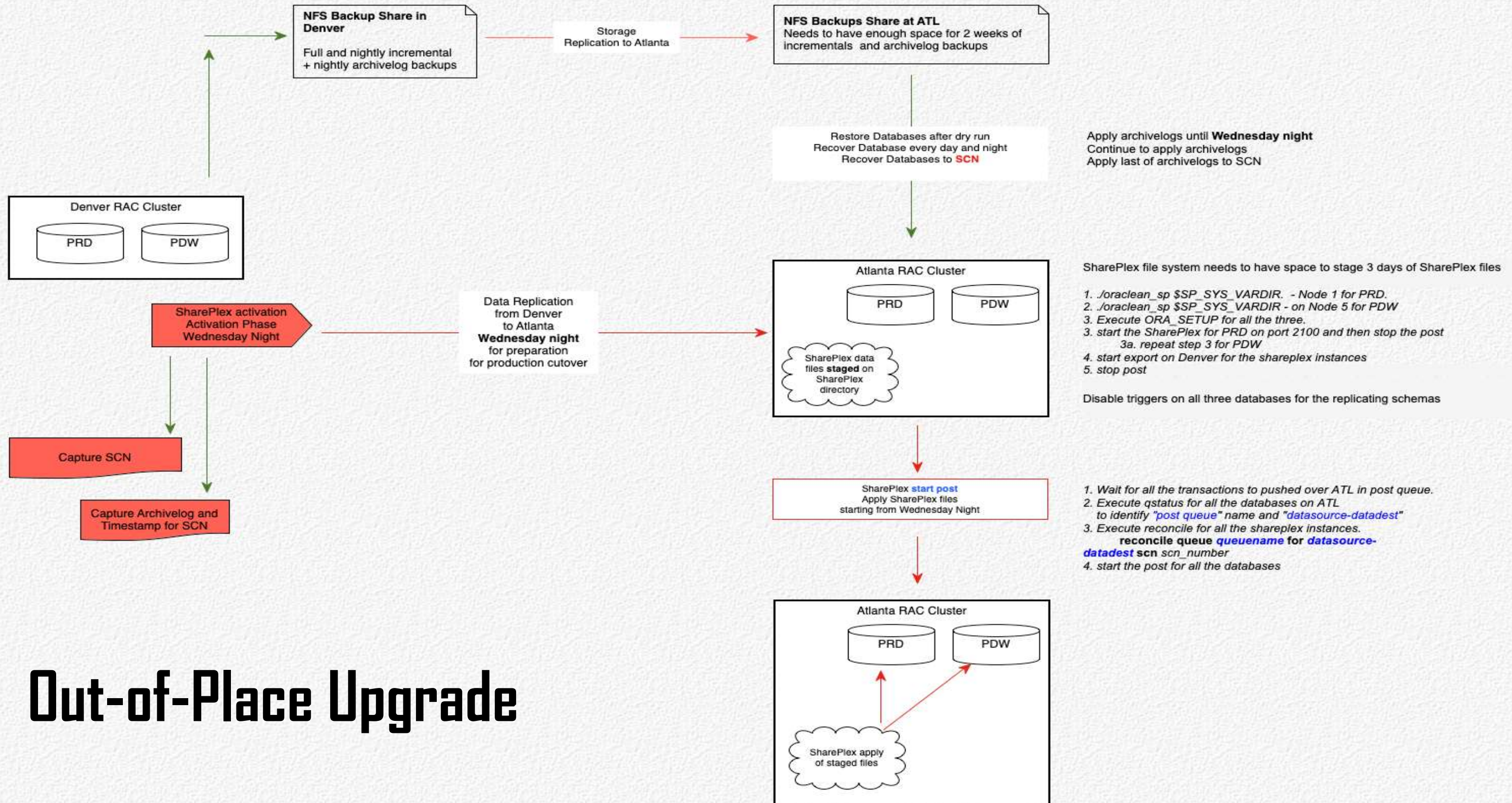
Method	Upgrade From	Upgrade To
Datapump Export/Import	11.2.0.4, 12.1.0.2, 12.2.0.1, 18c	23c
AutoUpgrade	11.2.0.4, 12.1.0.2, 12.2.0.1, 18c	19c Then to 23c
DBUA	19c	23c
DBUpgrade		
Transportable Tablespace	11.2.0.4, 12.1.0.2, 12.2.0.1, 18c	23c
GoldenGate / SharePlex	11.2.0.4, 12.1.0.2, 12.2.0.1, 18c	23c
Transient Logical Approach	11.2.0.4, 12.1.0.2, 12.2.0.1, 18c	19c Then to 23c
DBMS_ROLLING	19c	23c

## Near-Zero downtime upgrade

- Leveraging products like Oracle GoldenGate or Quest SharePlex, we can help customers perform zero-downtime upgrades to Oracle Database 23c
- By incorporating reverse replication back to the source database, create an insurance policy for the company so that you can perform a zero-risk database upgrade value proposition



# Zero Downtime Database Upgrade and Data Center Migration Strategy

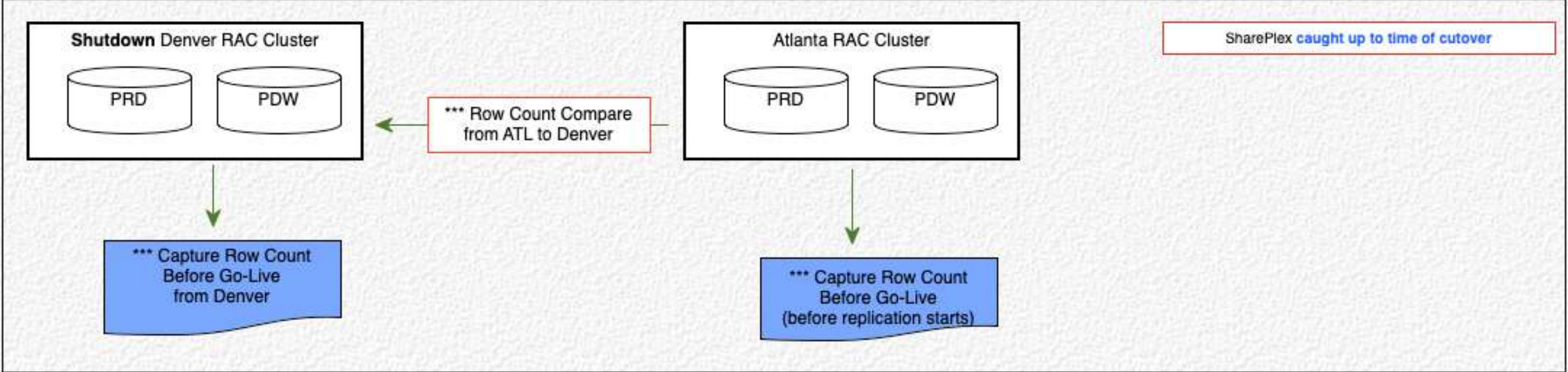


## Out-of-Place Upgrade



# Production Cutover Row Count Validation

## Production Cutover



- Friday night**
- 1) Break connection from Phoenix - stop SharePlex
  - 2) Enable Triggers



# Oracle 19c - Oracle's Recommended Roadmap

- Oracle **strongly recommends** that customers upgrade their databases to Oracle Database 19c
  - 19c is the terminal release of Oracle Database 12c Release 2
  - 19c is the **long-term support** or extended support will only be offered on this version
    - Oracle Database 19c will have premier support up to ~~March 31, 2023~~. April 30, 2024.
    - Extended support will be provided until ~~March 31, 2026~~. April 30, 2027
- Oracle Database 23c will go **GA** this year but most customers will not venture there until next year



# MRPs for Linux Only

## Monthly Recommended Patches (MRP)

Start on November 2022

Linux Platforms Only

- To provide more frequent access to recommended and well-tested collections of patches
- Starting on October 2022, 19c RURs will no longer be provided for 19.17.0 and above

### Notes:

- Sunsetting of 19c RURs and FAQ (Doc ID 2898381.1)

“MRPs **may include critical 3rd Party Security vulnerabilities.** The Quarterly Database Release Update (RU) will continue to be primary mechanism for delivery of Database Security vulnerabilities announced as part of the Quarterly Security CPU program”

# Oracle Database 19c

## Upgrade Checklists and Patch Information

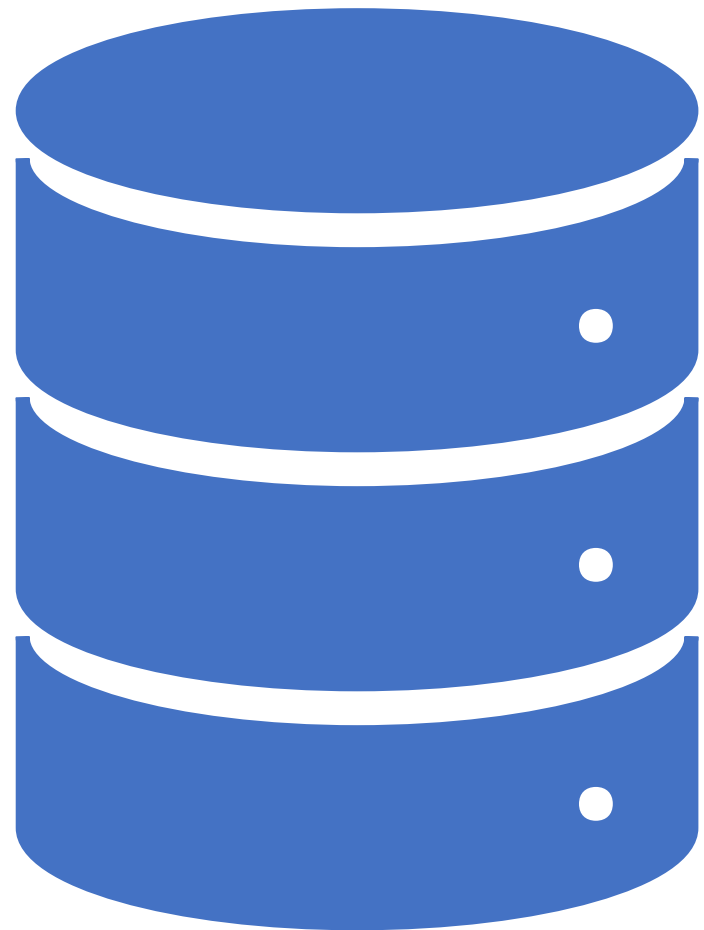
Best  
Practice

N-1

555.1	Oracle Database 19c Important Recommended One-off Patches (Doc ID 555.1) Death of the RURs ☺ Welcome MRPs
<a href="#">2521164.1</a>	<b>Oracle Database 19c Proactive Patch Information</b> <b>Start Here For Patch Downloads</b>
<a href="#">2539778.1</a>	Oracle 19c - Complete Checklist for Manual Upgrades to Non-CDB Oracle Database 19c
<a href="#">2543981.1</a>	Oracle 19c - Complete Checklist for upgrading Oracle 12c, 18c Container Database (CDB) to Oracle 19c Release using DBUA
2549866.1	Oracle 19c - Complete checklist for <b>Manual</b> Upgrade for upgrading Oracle 12.x, 18c <b>Container</b> database (CDB) to Oracle 19c (19.x)
<a href="#">2545064.1</a>	Oracle 19c - Complete Checklist for Upgrading to Oracle Database 19c (19.x) using DBUA
2539778.1	Oracle 19c - Complete Checklist for Manual Upgrades to Non-CDB Oracle Database 19c
2539751.1	Patches to apply before upgrading Oracle GI and DB to 19c
2542082.1	19c Grid Infrastructure and Database Upgrade steps for Exadata Database Machine running on Oracle Linux
1587357.1	Oracle Database (RDBMS) on Unix AIX,HP-UX,Linux,Solaris and MS Windows Operating Systems Installation and Configuration Requirements Quick Reference (12.1/12.2/18c/19c)



**PDB**



**Oracle Database 21c - The first CDB-  
Only Release**

**PDB is THE FUTURE: Changes How DBAs  
Work**

**Oracle Database 23c is right around  
the corner**



# Oracle Database 19c

## 3 PDBs - FREE TO USE



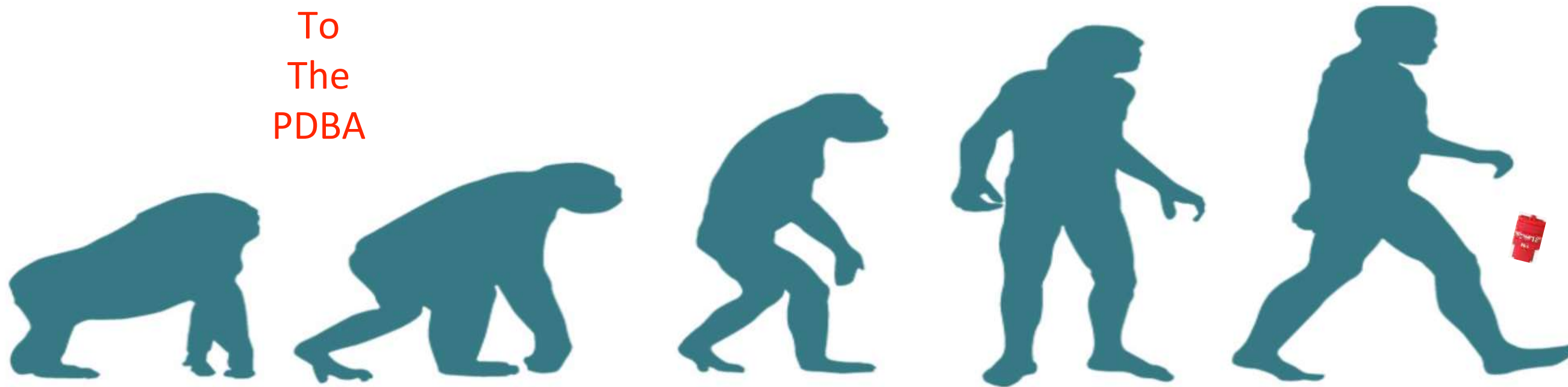
Starting in Oracle Database 19c, each container database can have up to **3** pluggable databases

- Change how we perform database **upgrades**
- Change how we do data center **migrations**
- Change how we perform **patches**

**19<sup>c</sup>** ORACLE<sup>®</sup>  
Database

# Evolution of Multitenant (PDB) Features

Evolution of the  
Dinosaur DBA  
To  
The  
PDBA



Read Only  
Source –  
**Cold/Remote  
Clone**



Read Write  
Source –  
**Hot/Refresh  
Clone**



Online  
**Relocate**

Lockdown Profiles

PDB Archives

Proxy PDBs

Refreshable PDB  
Switchover (18c)

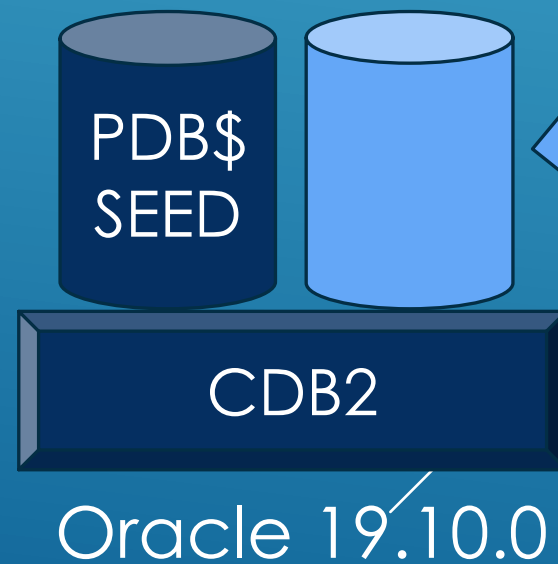
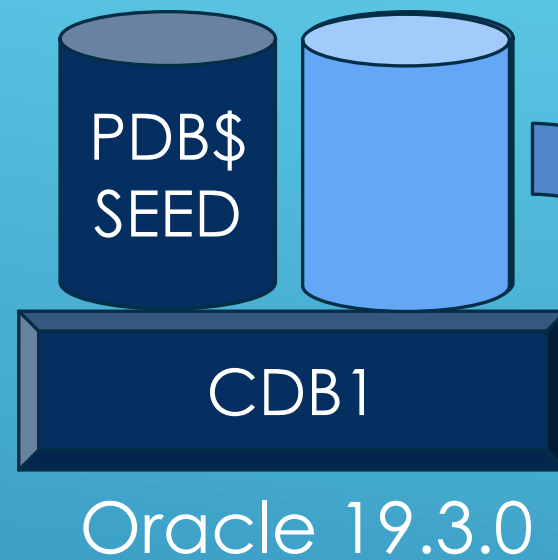
Refreshable PDBs  
(12.2)

Snapshot Carousels  
(18c Exa)



# PATCHING

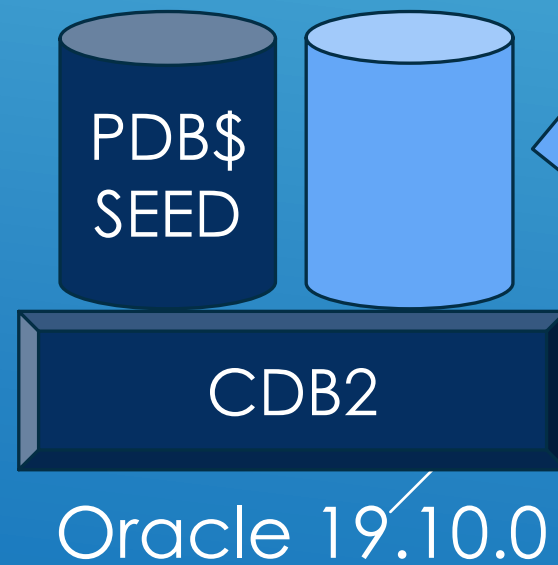
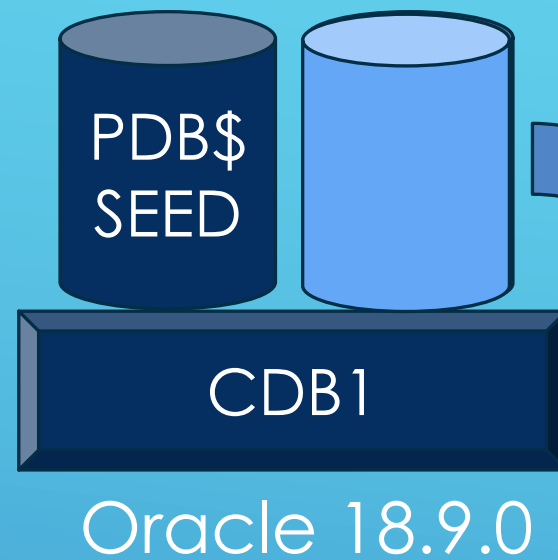
**1** Create new CDB2 with same components as CDB1 - make sure COMPATIBLE is identical



**2** Relocate PDB

**3** Execute 'datapatch -verbose'

# UPGRADING



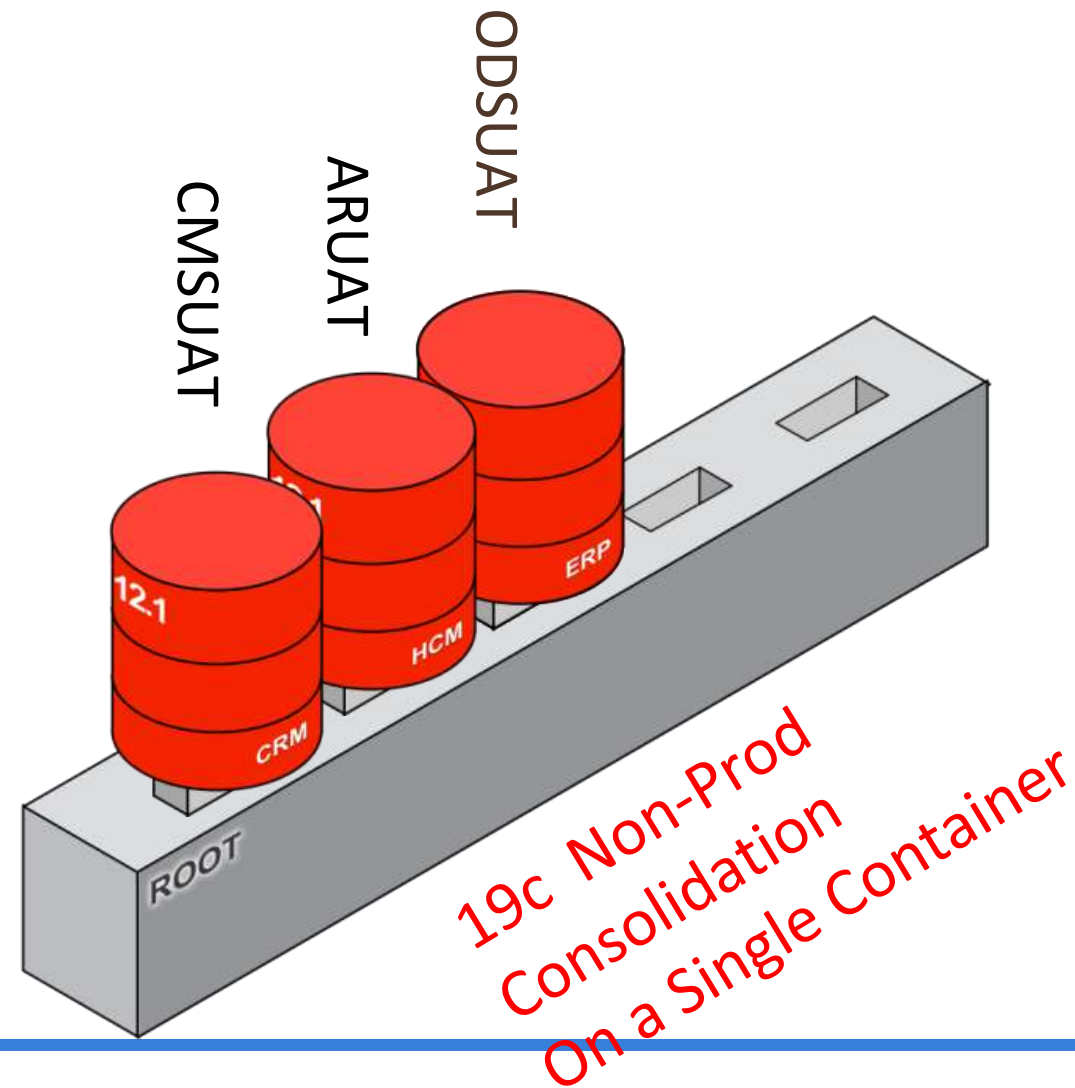
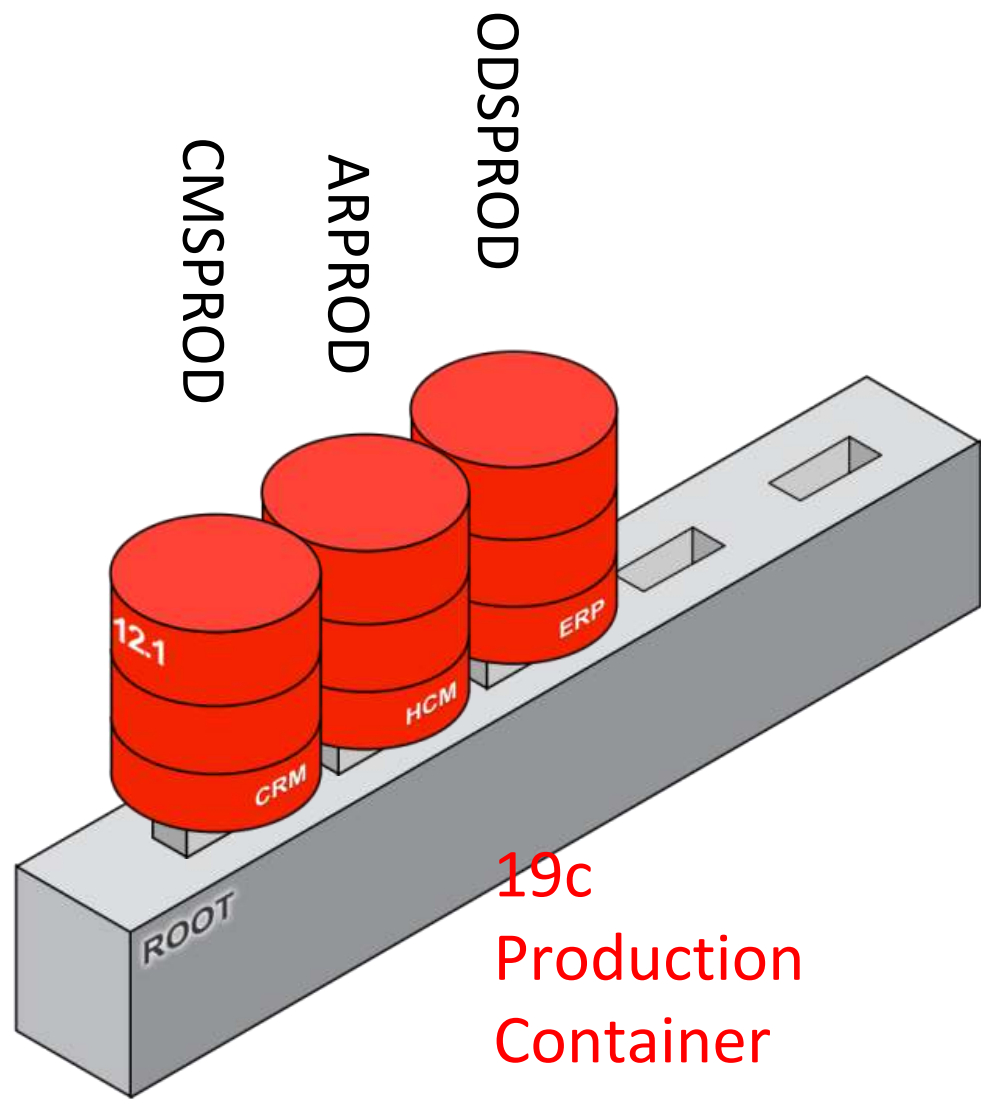
**2** Clone PDB

**1** Create new CDB2 with same components as CDB1 – This time as an Oracle Database 19c

**3** SQL> alter pluggable database open upgrade;  
\$ perl \$ORACLE\_HOME/rdbms/admin/catctl.pl -d \  
\$ORACLE\_HOME/rdbms/admin -c 'PDB' \  
-I \$ORACLE\_BASE catupgrd.sql

# 19c PDB Consolidation for Cost Containment

Standardize in consolidating multiple databases (customers) into a single container



PDB Complete Isolation  
Meets Compliance & Regulatory Requirements



# 19c PDB Consolidation for Cost Containment

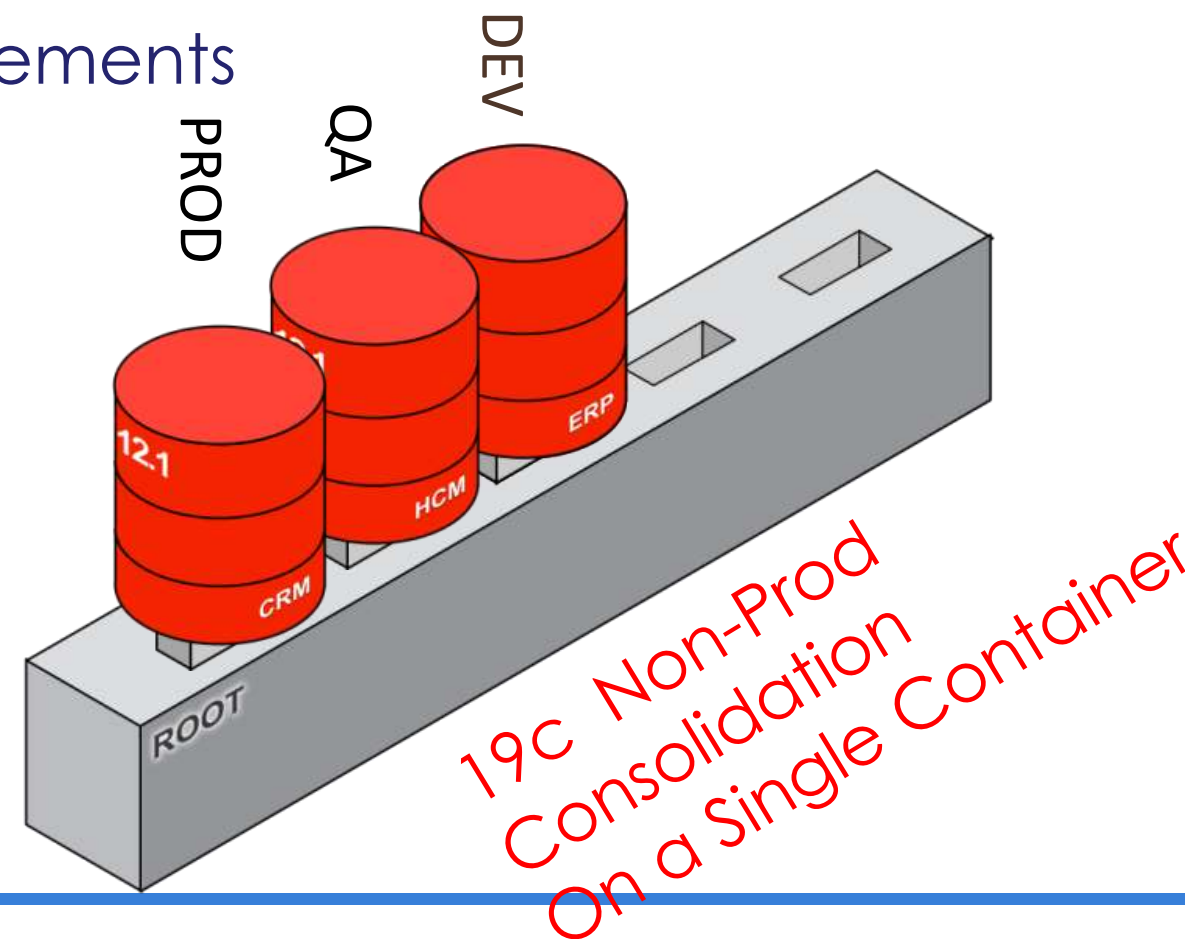
## Consolidate databases into a single container

**Not a recommended deployment model**

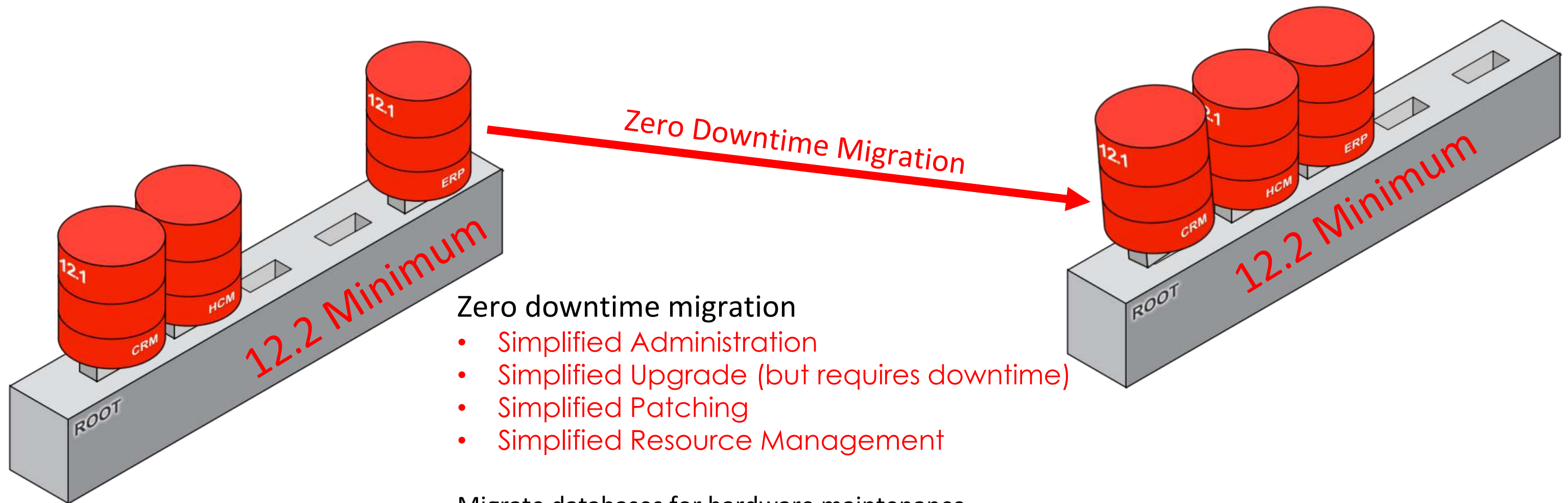
**Can put PROD, QA and DEV on a single container**

PDB Complete Isolation

Meets Compliance & Regulatory Requirements



# 19c PDB Database High Availability



## Zero downtime migration

- Simplified Administration
- Simplified Upgrade (but requires downtime)
- Simplified Patching
- Simplified Resource Management

Migrate databases for hardware maintenance

Migrate databases for OS upgrades

**More PDB**



# Dynamic CPU Scaling

## CPU Min Count at PDB Level

- Works with Oracle Database Resource Manager (DBRM)
- Starting in Oracle 19.4, CPU\_MIN\_COUNT is available to set **minimum** CPUs when the system is under load conditions
  - Reserve CPU
  - Protect from noisy neighbors
- Set in conjunction with CPU\_COUNT to set the **upper** limit

# Fined Grained PDB Patching

PDB is the Future

- Patch individual PDBs in a Multi-tenant environment
- Allows bug fixes to be patched only on specific PDBs rather than across the entire CDB

# High Availability Considerations

## Active Data Guard: DML Redirection

**DBMS\_ROLLING with ADG: Introduced in 12.1.0.1**

**Transient Standby: Started with 11.1.0.6**

**23c: Flashback Time Travel**



# Phase I: High Availability Manager (H.A.M.)

- Target Date: July 31, 2023
- **Version: V1 in the Azure Market Place**
- Initial release will come with 2 days of consulting

Solution: Viscosity will release a High Availability Solution (HAS) for Oracle Databases to address disaster recovery as well as localized failover to replace RAC for HA. For the initial release, Viscosity will offer 2 days of professional consulting to help customers instantiate high availability for their primary database. The customer will have access to a rich UI application to help manage and monitor a Data Guard environment. Our solution does not need Oracle Enterprise Manager for Data Guard management.

## V1 features and functionality:

- Instantiate Data Guard with the Broker
- Configure FSFO
- Web Tier for application UI
  - WebUI will launch process to instantiate a physical standby
  - WebUI will launch setup the DG Broker
  - WebUI will setup FSFO with the Observer Process
  - The WebUI will run on the same server as the Observer Processes
- Database server agents in Python to execute server-side scripts
  - The Agent listener will run on the primary database
  - The Agent listener will run on the standby database
  - Returns output back to the web application
- UI will have screens for transport and apply lag monitoring
- UI will have screens for archive gaps
- UI will have screens for Data Guard best practice checks
  - Check for standby redo logs
  - Check for corruption in the datafiles
  - Check for redo generation rate
  - Check for redo volume rate

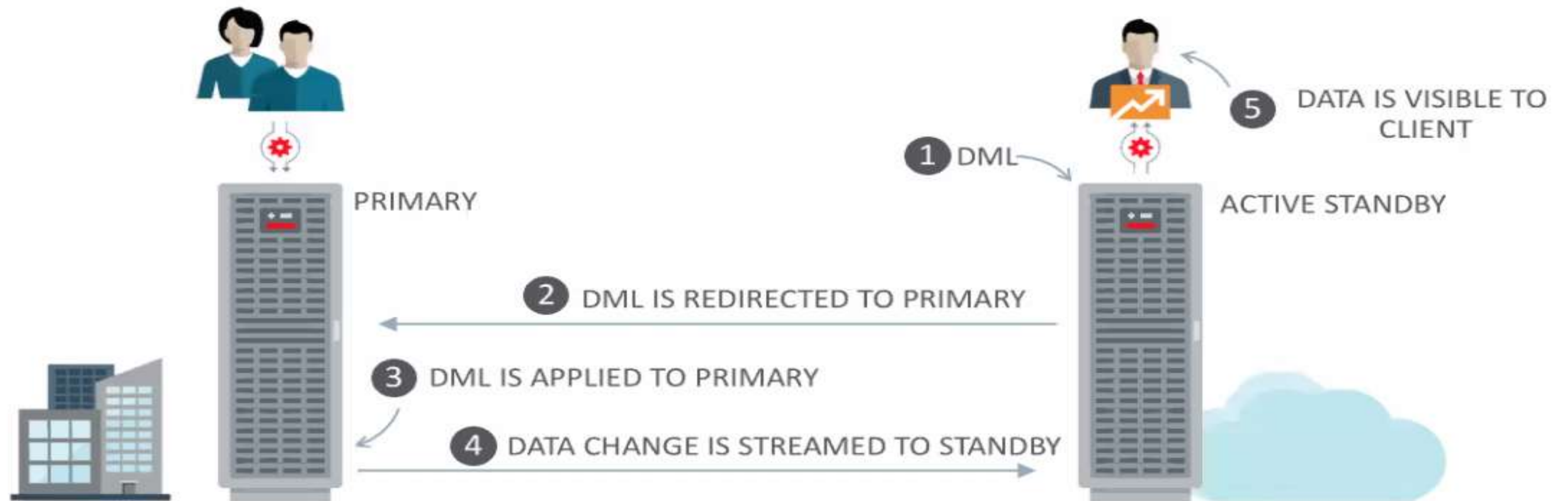
# DISASTER RECOVERY



# Read Mostly Physical Standby

## Active Data Guard : DML Redirection

- Read Mostly Standby





# 19c: Data Guard DML Redirection

## RUN DML on Active Data Guard

- Re-Direct DML statement back to the primary database
  - Data changes will happen on the primary database
  - Changed blocks will ship to ADG
  - ADG will be in sync to maintain redundancy
- 
- Set **ADG\_REDIRECT\_DML initialization parameter = TRUE**
  - SQL> ALTER **SESSION** ENABLE ADG\_REDIRECT\_DML;



# Observer and FSFO



## Observer in Observe Only Mode

Configure it to Observe only creating a '**test mode**' to see when a failover or other interaction would have occurred during the normal production processing

- Can tune FSFO for finer granularity
- Can see IF FSFO would have actually occurred without production impact
- Improve failover validation

## Dynamically Change FSFO target

With 12.2, we can have multiple FSFO targets

With 19c, we can change the targets without FSFO being disabled

# Additional 19c New Features

## Clear Flashback logs periodically to increase FRA size predictability

Starting in 19c, the management of space in the fast recovery area is simplified

Oracle Database monitors flashback logs in the fast recovery area and automatically deletes flashback logs that are beyond the retention period. When the retention target is reduced, flashback logs that are beyond the retention period are deleted immediately.

## New Parameters for Tuning Automatic Outage Resolution

DATA\_GUARD\_MAX\_IO\_TIME sets the maximum number of seconds that can elapse before a process is considered hung while performing a regular I/O operation in an Oracle Data Guard environment. Regular I/O operations include read, write, and status operations.

DATA\_GUARD\_MAX\_LONGIO\_TIME sets the maximum number of seconds that can elapse before a process is considered hung while performing a long I/O operation in an Oracle Data Guard environment. Long I/O operations include open and close operations.

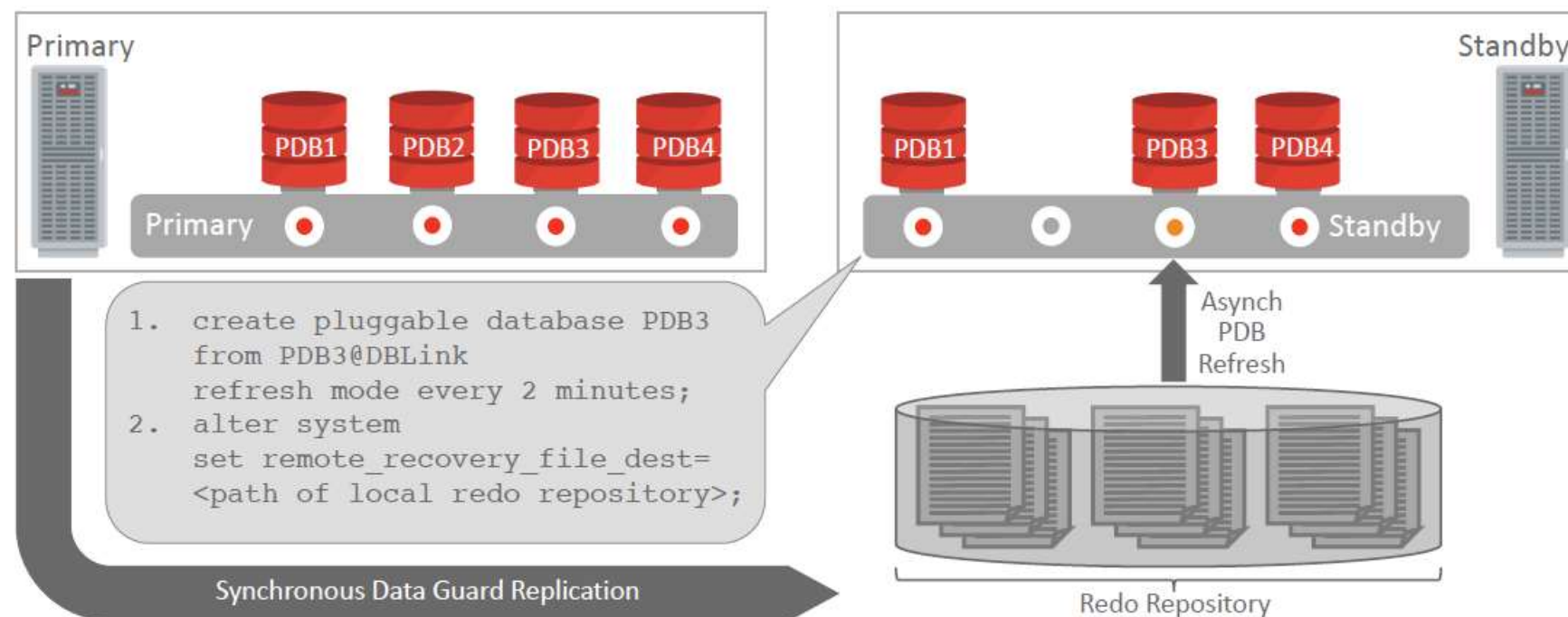
# 23c Data Guard & HA Enhancements

# Remote Data Guard Redo Repository (18c)

- A Component of the Data Guard Far Sync
- Requires ADG license

## Near-Zero Data Loss Per-PDB Switchover

Enabled by integration with Data Guard Redo Repository



## Advantages

- Minimizes impact of scanning redo logs
- Minimizes amount of redo transport between CDBs
- Enables **near-zero data loss architecture**





**RAC**  
Oracle RAC on Kubernetes & Podman  
Dual Instance Rolling Patching

**Per-PDB Data Guard Integration Enhancements**

Reconnect Refreshable PDBs to source (23c)  
Disconnect, Open PDBs as Read-Write and then reconnect the PDB as a refreshable PDB  
Essentially like a snapshot standby database

Per-PDB Time Zone (23c on-premise) for Sysdate queries

PDB-level Data Guard (21c)  
CDB1:PDB1: Primary --> CDB2: PDB1 DR: Standby  
CDB2:PDB2: Primary --> CDB1:PDB2 DR: Standby  
Where CDB1 is the primary for PDB1 and CDB2 is the primary for PDB2

Read-Only Per PDB Standbys (23c)

**Core Database**

Increased number of columns to 4096  
Managing Flashback Database Logs Outside the Fast Recovery Area  
...  
...  
RUR's are transitioning to MRPs (available on Linux x86-64)  
Monthly Recommended Patches (MRPs)



# Flashback Time Travel Enhancements

## Create Flashback Archives in Your Database

- Flashback Time Travel helps to meet compliance requirements
- Track and archive transactional changes to tables including schemas
- Enable tracking of DML (such as INSERT and DELETE)
- Enable tracking of DDL operations on tables (such as creating and truncating tables)
  - Archive the changes made to the rows of the table in history tables
- Flashback Time Travel maintains a history of the evolution of a table and schema.
  - Enables you to issue flashback queries (AS OF and VERSIONS) on the table and its schema.
- You can view the history of DDL and DML changes made to the table.

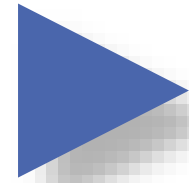
# Prepare Upgrade Checklist



# Clean Up: Recycle Bin



- Especially before patch set or release upgrade purge the recycle bin:
  - Since Oracle 12c this will be done by the `preupgrade_fixups.sql`
- General recommendation:
  - Empty the recycle bin at least once per week with an automatic job during off-peak times

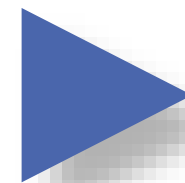


```
purge DBA_RECYCLEBIN;
```



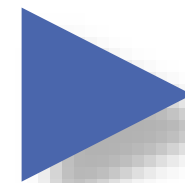
# Components: Validation Check

- Make sure all components are VALID before upgrade



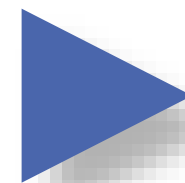
```
Select COMP_ID, COMP_NAME,  
STATUS, VERSION from  
DBA_REGISTRY where  
STATUS<>'VALID';
```

- Components are INVALID?



```
@?/rdbms/admin/utlrap.sql
```

- If that does not correct component status, further diagnosis might be required



[MOS Note:472937.1:](#)

Information On Installed Database Components

[MOS Note:753041.1:](#)

How to diagnose Components with NON VALID status

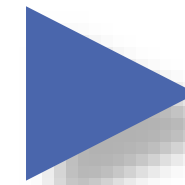
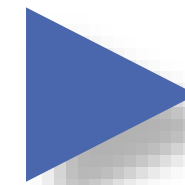
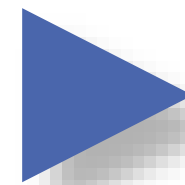
If you are not using it, think about removing it

# Components: Removal

Potential reasons to remove components:

- A component **does not exist anymore** in Oracle Database 12c
- **Speed up** the upgrade process
- A component is **obsolete**

[Component Removal Tech Details](http://tinyurl.com/ComponentCleanup)  
<http://tinyurl.com/ComponentCleanup>



# Performance: Preserve Statistics

Gather accurate performance statistics from production

- *Accurate means: Starting at least 1 month before the upgrade*
- *Use Automatic Workload Repository (AWR) Snapshots interval 30-60 minutes and retention >31 days*
  - *Extract AWR:*
  - *SQL> @?/rdbms/admin/awrextr.sql*
- Performance snapshot comparison using AWR DIFF reports:  
SQL>select \* from table(  
DBMS\_WORKLOAD\_REPOSITORY.AWR\_DIFF\_REPORT\_HTML(<DBID>, 1, 101, 121, <DBID>, 1, 201, 221));

[MOS Note:1477599.1](#) Best Practices Around Data Collection For Performance Issues

## Pre-Upgrade/Migrate Considerations

### Always Consider Minimal or Zero Downtime Approach

- Set **Guaranteed Restore Points** accordingly
- Ensure **AWR retention** is long enough to capture historical data for comparison
  - ASH too
- Backup your database statistics, in case an older SQL plan needs restoring
- Definitely consider **RAT** for real workload testing, instead of synthetic workloads tests
- *If upgrading older version of RAC to new version in place, special consideration need to be understood*
  - *Upgrade the Grid Infrastructure stack first*
    - *Treat the GI upgrade like you do an OS upgrade*
  - Legacy clients may not support new Net connections



# AWR - TopNSQL

[https://docs.oracle.com/en/database/oracle/oracle-database/19/arpls/DBMS\\_WORKLOAD\\_REPOSITORY.html#GUID-E2B46878-1BDB-4789-8A21-016A625530F1](https://docs.oracle.com/en/database/oracle/oracle-database/19/arpls/DBMS_WORKLOAD_REPOSITORY.html#GUID-E2B46878-1BDB-4789-8A21-016A625530F1)

## Examples:

8 days retention, 60 minute interval, topnsql 30

- execute dbms\_workload\_repository.modify\_snapshot\_settings(retention => 11520, interval => 60, topnsql => 'DEFAULT');

8 days retention, 60 minute interval, topnsql 100

- execute dbms\_workload\_repository.modify\_snapshot\_settings(retention => 11520, interval => 60, topnsql => 100);

30 days retention, 60 minute interval, topnsql 100

- execute dbms\_workload\_repository.modify\_snapshot\_settings(retention => 43200, interval => 60, topnsql => 100);

90 days retention, 60 minute interval, topnsql 100

- execute dbms\_workload\_repository.modify\_snapshot\_settings(retention => 129600, interval => 60, topnsql => 100);

## Verify AWR settings

- select a.snap\_interval, a.retention, a.topnsql
- from dba\_hist\_wr\_control a, v\$database d
- where a.dbid = d.dbid;

# Pre-Upgrade JAR Tips

- Set environment variables:

```
export NEW_HOME=$ORACLE_19C_HOME  
export OLD_HOME=$ORACLE_HOME
```

- Run the pre-upgrade JAR:

```
$NEW_HOME/jdk/bin/java -jar $NEW_HOME/rdbms/admin/preupgrade.jar TERMINAL TEXT
```

- Perform fixups:

```
$NEW_HOME/perl/bin/perl -I$NEW_HOME/perl/lib \  
-I$NEW_HOME/rdbms/admin $NEW_HOME/rdbms/admin/catcon.pl \  
-l $ORACLE_BASE/cfgtoollogs/$ORACLE_SID/preupgrade/ \  
-b preup_$ORACLE_SID \  
$ORACLE_BASE/cfgtoollogs/$ORACLE_SID/preupgrade/preupgrade_fixups.sql
```

# Pre-upgrade checks and review

- Force Logging
- Block Change Tracking
- NLS values:
  - Time zone
  - Language
  - Territory
  - Character set/ NLS character set
- Contents, size and space in FRA
- Restore Points
- Database links
- Directories
- External tables
- Jobs and Scheduler Jobs
- NOLOGGING objects and tablespaces
- Datafiles, Tempfiles, Redo Log files
- Environment variables
  - SQLPATH
  - TWO\_TASK
- Check .profile, .bash\_profile, .bashrc, etc.
- Check crontab
- Review deprecated, de-supported features
- OS links
- Upgrading to New Version of the OS (validate shell scripts and programs)
- Check Apex Compatibility
  - <https://mikedietrichde.com/2017/05/02/is-your-apex-version-certified-with-your-database-release/>

## Pre Upgrade Tasks

Schedule the full database backups prior to upgrading / patching the environment (the night before the upgrade or patching)

Determine who will be available from the support side if we need IT support

Validate root, grid, oracle access, grid access

Export Database statistics for backup

Change AWR retention window to minimum **31 days**

- need to do this way ahead of time

Review data guard configuration since all of the environments have data guard

- Make sure that force logging is enabled

- Check for corrupt datafiles from unrecoverable activities

Create a preemptive SR with Oracle

Stage the 19c software on PROD RAC

Stage the 19c software on DR RAC

Apply RU Patch PROD RAC to 19.10

Apply Patch DR RAC to 19.10

Setup SQL.NET ora with 11g logon

Copy initialization parameter file to 19c on PROD and DR

Copy password file to 19c on PROD and DR

Copy tnsnames.ora file

Execute dbupgdiag.sql

Execute Viscosity Pre Upgrade Check Script

Execute java with the preupgrade.jar file

Record invalid objects

Validate application server scripts for 19c database

Backup /etc/oratab

Review cron jobs

Make sure that glogin.sql is blank

Capture DBMS\_JOBS

Capture directories

Capture extproc

Capture database links (as they need to be reset)

## Day Before

Perform full level 0 backup of the Database (if possible)

Clean up file system space

Review output from preungrade scripts and provide remediation plan

# Sample PreUpgrade Check List



# Pre-upgrade backup and capture

- Database configurations:
  - \$ORACLE\_HOME/dbs
  - \$ORACLE\_HOME/network/admin
  - Wallets
  - Diagnostic directories
- SGA and PGA TARGET ADVICE
- Opatch inventory, patch registry
- RMAN:
  - show all
  - report unrecoverable
  - report need backup
  - report schema
  - list backup
- Capture listener information
  - show log\_directory
  - show log\_file
  - show log\_status
  - show trc\_directory
  - show trc\_file
  - show trc\_level
  - show rawmode
  - show displaymode
  - show rules
  - show inbound\_connect\_timeout
  - show dynamic\_registration
  - status services

# Pre-upgrade tasks

- Upgrade or Remove APEX
  - If you are not using Apex, remove it
- Review and remove hidden parameters
- Remove OJVM if you are not using it
- Extend ADR retention policy (30-60 days ahead of the production upgrade cutover)
- Extend AWR retention policy (30-60 days ahead of the production upgrade cutover)
  
- Review contents of crontab
- Backup spfile and memory to pfile
- Backup /etc/oratab
  
- Generate IPCS reports
  
- Backup SAR directory

# DBUA Tips

- Run DBUA in silent mode:

```
$NEW_HOME/bin/dbua -silent -dbName $ORACLE_SID \  
-upgrade_parallelism 2 \  
-recompile_invalid_objects true \  
-upgradeTimezone true \  
-performFixUp true
```

- Check upgrade status:

```
@$NEW_HOME/rdbms/admin/utlusts.sql TEXT
```

# Auto Upgrade



# Autoupgrade Tips

- Check Java version:

```
$ORACLE_HOME/jdk/bin/java -version
```

```
java version "1.6.0_75"
```

```
Java(TM) SE Runtime Environment (build 1.6.0_75-b13)
```

```
Java HotSpot(TM) 64-Bit Server VM (build 20.75-b01, mixed mode)
```

- Java must be 1.8 or greater (19c home Java can be used):

```
$NEW_HOME/jdk/bin/java -version
```

```
java version "1.8.0_241"
```

```
Java(TM) SE Runtime Environment (build 1.8.0_241-b07)
```

```
Java HotSpot(TM) 64-Bit Server VM (build 25.241-b07, mixed mode)
```

# Oracle Database 19c

My Oracle Support Document 2485457.1

**19<sup>c</sup>** ORACLE<sup>®</sup>  
Database

- **Auto Upgrade** for Oracle Databases
  - Must download the AutoUpgrade Kit (Starting with 12.2 and 18.5)
    - For 12.2: Requires the January 2019 Release Updates (DBJAN2019RU)
  - Upgrade database instance from command line with **single configuration** file
  - Runs pre-upgrade tasks
  - Performs automated fix-ups
  - Performs the actual upgrade
  - Performs post-upgrade tasks
    - Automatic retry and tailback
    - Schedule the upgrade
    - Change init.ora parameters along the way

# AutoUpgrade Tool

## Doc ID 2485457.1

### Source

- 11.2.0.4 or Higher
- The most recent version of AutoUpgrade Utility can be downloaded via this link: [AutoUpgrade Tool 2485457.1](#).

### Target

- Oracle Database 19c (19.3 and newer)
- Oracle Database 18c (18.5 and newer)
- Oracle Database 12c Release 2 (12.2 + DBJAN2019RU and newer)

# Autoupgrade Tips

- Check Autoupgrade version:

```
$NEW_HOME/jdk/bin/java -jar $NEW_HOME/rdbms/admin/autoupgrade.jar -version  
build.hash 04dd9f2  
build.version 19.7.5  
build.date 2020/02/11 15:28:49  
build.max_target_version 19  
build.type production
```



# Autoupgrade Tips

- Sample autoupgrade configuration file:

```
# Global parameters
global.autoupg_log_dir=/opt/oracle/autoupgrade
# Database parameters
upg1.source_home=/opt/oracle/product/12.1.0.2/dbhome_1
upg1.target_home=/opt/oracle/product/19c/dbhome_1
upg1.sid=$ORACLE_SID
upg1.start_time=now
upg1.pdbs=*
upg1.log_dir=/opt/oracle/autoupgrade/$ORACLE_SID
upg1.upgrade_node=$(hostname -s)
upg1.run_utlrp=yes
upg1.timezone_upg=yes
upg1.target_version=19.7
```

# Autoupgrade Tips

- Run in analysis mode:

```
$NEW_HOME/jdk/bin/java -jar $NEW_HOME/rdbms/admin/autoupgrade.jar \  
-config /opt/oracle/autoupgrade/config.txt \  
-mode analyze
```

- Run autoupgrade (deploy):

```
$NEW_HOME/jdk/bin/java -jar $NEW_HOME/rdbms/admin/autoupgrade.jar \  
-config /opt/oracle/autoupgrade/config.txt \  
-mode deploy
```

# Autoupgrade Tips

- Sample autoupgrade configuration file:

```
# Global parameters
global.autoupg_log_dir=/opt/oracle/autoupgrade
# Database parameters
upg1.source_home=/opt/oracle/product/12.1.0.2/dbhome_1
upg1.target_home=/opt/oracle/product/19c/dbhome_1
upg1.sid=$ORACLE_SID
upg1.start_time=now
upg1.pdbs=*
upg1.log_dir=/opt/oracle/autoupgrade/$ORACLE_SID
upg1.upgrade_node=$(hostname -s)
upg1.run_utlrp=yes
upg1.timezone_upg=yes
upg1.target_version=19.7
```

# Autoupgrade Tips

## Another autoupgrade configuration file including PDB Migration

```
cat << EOF > $ORADATA/autoupgrade/config.txt
# Global parameters
global.autoupg_log_dir=$ORADATA/autoupgrade
global.raise_compatible=yes
global.drop_grp_after_upgrade=yes
global.remove_underscore_parameters=yes
```

```
# Common database parameters
upg.upgrade_node=localhost
upg.source_home=$ORACLE_HOME
upg.sid=$ORACLE_SID
upg.start_time=now
upg.run_utlrp=yes
upg.timezone_upg=yes

EOF
```

```
if [ -d "$ORACLE_19C_HOME" ]
then cat << EOF >> $ORADATA/autoupgrade/config.txt
# Database parameters - 19c upgrade
upg.target_home=$ORACLE_19C_HOME
upg.target_version=19
EOF
```

```
elif [ -d "$ORACLE_21C_HOME" ]
then cat << EOF >> $ORADATA/autoupgrade/config.txt
# Database parameters - 21c upgrade
upg.target_home=$ORACLE_21C_HOME
upg.target_cdb=${ORACLE_SID}CDB
upg.target_pdb_name=${ORACLE_SID}PDB
upg.target_version=21.5
upg.target_pdb_copy_option=file_name_convert=NONE
EOF
```



# APEX Upgrade

# Apex Manual Upgrade

Oracle APEX Release 22.2: released on November 2022

18c  
Oracle  
Database

- ORIGINAL PREUPGRADE ISSUE:
- 1. Upgrade Oracle Application Express (APEX) manually before the database upgrade.
- 
- The database contains APEX version 4.2.5.00.08. Upgrade APEX to at least version 18.2.0.00.12.
- 

## -- Starting with Oracle Database Release 18, APEX is not upgraded

- automatically as part of the database upgrade. Refer to My Oracle Support Note 1088970.1 for information about APEX installation and upgrades.

```
SQL> select count(*) from APEX_040200.WWV_FLOWS where id = 4000;
COUNT (*)
```

-----

   development install → apexins.sql

<https://www.oracle.com/tools/downloads/apex-v191-downloads.html>

- apex\_20.1.zip : Released April 2020
- apex\_19.2\_en.zip : November 2019

- **October 22, 2020: apex\_20.2.zip is out**

- [https://asktom.oracle.com/pls/apex/f?p=100:551::::RP\\_551:P51\\_CLASS\\_ID,P51\\_INVITED:9824,N&cs=110D22E6A6B683BC69BAFC2FE19677C37](https://asktom.oracle.com/pls/apex/f?p=100:551::::RP_551:P51_CLASS_ID,P51_INVITED:9824,N&cs=110D22E6A6B683BC69BAFC2FE19677C37)

- [Patch Set Bundle for Oracle APEX 20.2 \(32006852\)](#)

# Upgrade Apex

@apexins.sql apex apex temp /i/

```
.... Thank you for installing Oracle Application Express 19.2.0.00.18

Oracle Application Express is installed in the APEX_190200 schema.

The structure of the link to the Application Express administration services is as follows:
http://host:port/pls/apex/apex_admin (Oracle HTTP Server with mod_plsql)
http://host:port/apex/apex_admin (Oracle XML DB HTTP listener with the embedded PL/SQL gateway)
http://host:port/apex/apex_admin (Oracle REST Data Services)

The structure of the link to the Application Express development interface is as follows:
http://host:port/pls/apex (Oracle HTTP Server with mod_plsql)
http://host:port/apex (Oracle XML DB HTTP listener with the embedded PL/SQL gateway)
http://host:port/apex (Oracle REST Data Services)

timing for: Phase 3 (Switch)
Elapsed: 00:00:52.44
timing for: Complete Installation
Elapsed: 00:10:57.93

PL/SQL procedure successfully completed.

1 row selected.

...null1.sql
```

```
timing for: Phase 1 (Installation)
Elapsed: 00:03:05.77
Phase 2 (Upgrade)

timing for: Enabling Phase 2
Elapsed: 00:00:00.00
#
# Upgrade Metadata (1)
#
...reset_state_and_show_invalid.sql

timing for: Upgrade Metadata (1)
Elapsed: 00:01:42.03
#
# Upgrade Metadata (2)
#
-- Upgrading new schema. -----

timing for: Upgrade Metadata (2)
Elapsed: 00:00:39.04
#
# Recompiling APEX_190200 schema
#
...reset_state_and_show_invalid.sql

timing for: Recompiling APEX_190200 schema
Elapsed: 00:00:21.55
#
# Configuring Restricted Schemas
#
```

# Security

**Blockchain Table**

**Immutable Table**

**Immutable Backups**

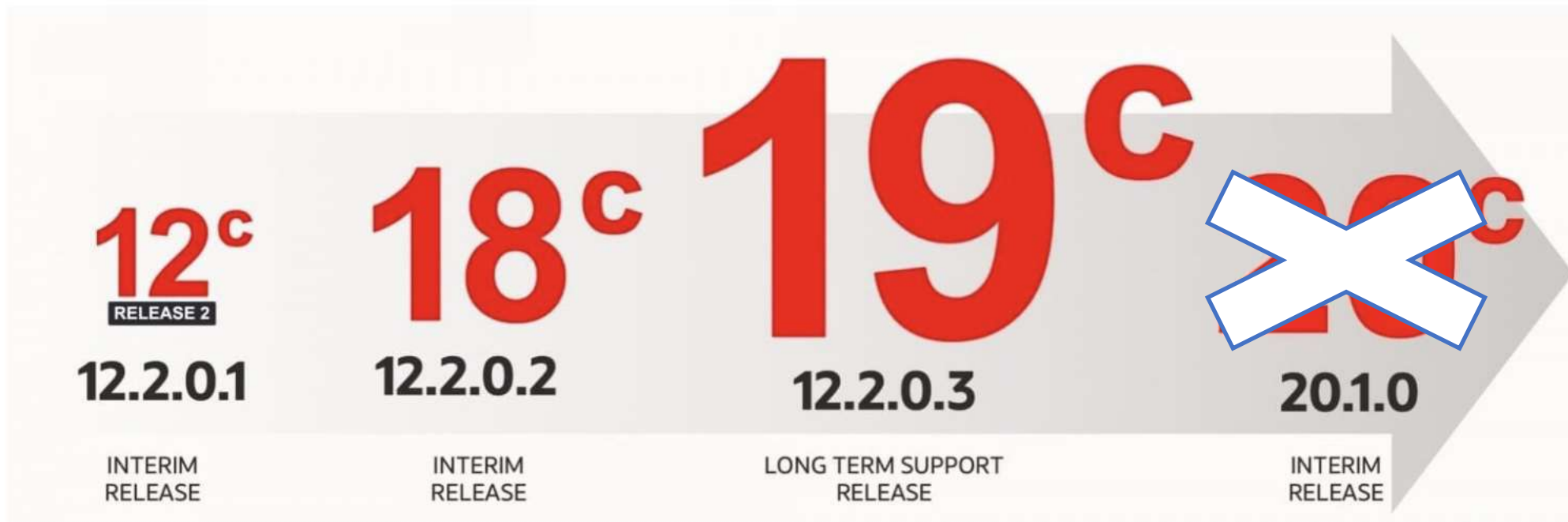
**Password**

**SQL Firewall**



# 21c Started Out In Oracle Cloud

January 2021

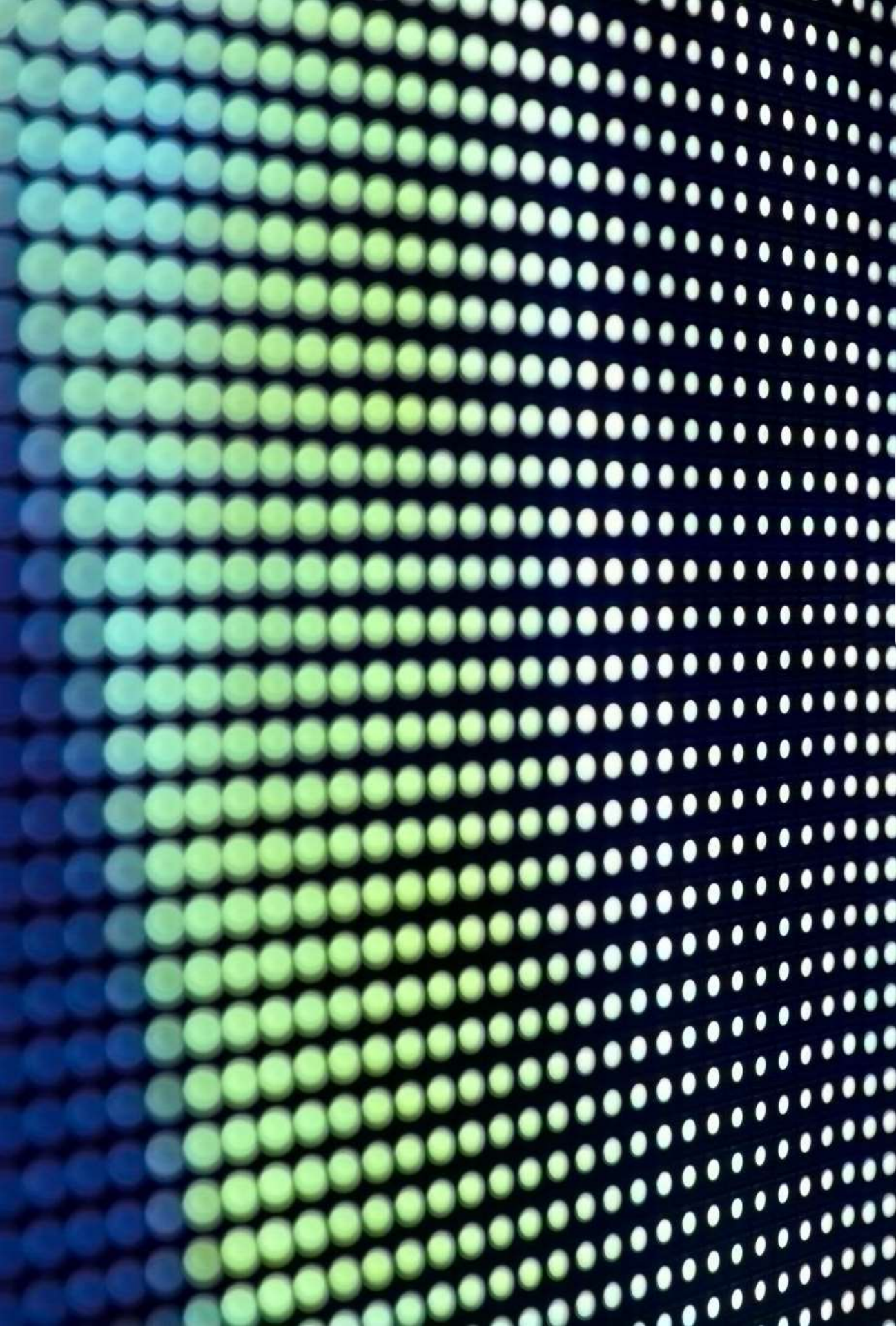


## Native blockchain table

- Secure ledger table managed by a trusted provider who has the best security in the world
- Create blockchain table [ledger\_of\_tables]
- Insert only
- Rows are cryptographically chained and trusted

```
CREATE BLOCKCHAIN TABLE account_ledger
(account VARCHAR2(100),
account_date DATE,
amount NUMBER)
        NO DROP UNTIL 60 DAYS IDLE
        NO DELETE LOCKED
        HASHING USING "SHA2_512" VERSION "v1";
```

**Mandatory:** NO DROP, NO DELETE, HASHING USING, and VERSION



Blockchain Table in 21c

Rows are organized into chains

- each row contains the hash of the data contained in the row plus the hash of the previous rows data

Backported to 19c

A blockchain table is:

- an insert-only table with an associated table-level and row-level retention period
- tamper proof

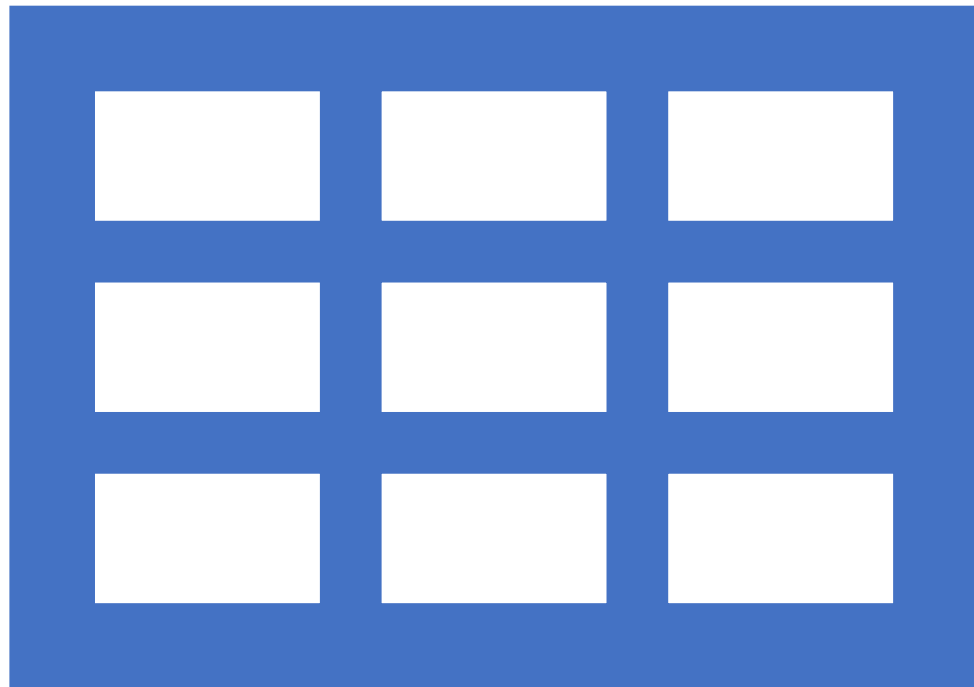
Requires 19.11 compatible



# Immutable tables

Immutable tables were introduced to Oracle 21.3 and 19.11 at the same time, so it could be considered a 19c and 21c new feature.

Immutable tables are insert-only tables in which existing data cannot be modified



# Comparison

## Immutable Tables Versus Blockchain Tables

### Immutable Tables

Prevents unauthorized changes by rogue or compromised insiders who have access to user credentials.

Rows are not chained together.

Inserting rows does not require additional processing at commit time.

### Blockchain Tables

Prevents unauthorized changes by rogue or compromised insiders

- Detects unauthorized changes made by bypassing Oracle Database software
- Detects end user impersonation and insertion of data in a user's name but without their authorization
- Prevents data tampering and ensures that data was actually inserted in to the table

Each row, except the first row, is **chained to the previous row** by using a **cryptographic hash**. The hash value of a row is computed based on the row data and the hash value of the previous row in the chain. Any modification to a row breaks the chain, thereby indicating that the row was tampered.

Additional processing time is required, at commit time, to chain rows.

Only rows that are outside the specified retention period can be deleted from an immutable table.

The SYS user or the owner of the schema can delete immutable table rows.

Use the DBMS\_IMMUTABLE\_TABLE.DELETE\_EXPIRED\_ROWS procedure to delete all rows that are beyond the specified retention period or obsolete rows that were created before a specified time.

```
CREATE IMMUTABLE TABLE LOANS(id NUMBER, accountNo NUMBER,  
luser VARCHAR2(40), value NUMBER) NO DROP UNTIL 40 DAYS IDLE  
NO DELETE UNTIL 100 DAYS AFTER INSERT;
```

No drop and no delete are mandatory options



# 23c: Blockchain

- Blockchain Table **User Chains**
  - Earlier versions of blockchain tables supported only **system** chains.
  - Now we can have a user chain: chain of rows based on a set of up to three user-defined columns
  - Increases the **flexibility** of applying blockchain tables and their **verification procedures**
- Blockchain Table Row Versions
  - See multiple historical versions of a row
- **Blockchain Table Log History** with **Flashback Data Archive History**
  - Each change in a regular table will be added to the blockchain log history table as a separate row within a cryptographic hash chain
- Add and Drop User Columns in Blockchain and Immutable Tables
- Blockchain Table Countersignature (at the time of signing a row)
- Blockchain Table Delegate Signer
  - A delegate is an alternate user who's allowed to sign rows inserted by the primary user. This feature allows a delegate to sign rows in an immutable or blockchain table on behalf of another user.
- New Special Privilege Required to **Set Long Idle Retention Times** for Blockchain and Immutable Tables
  - To fight disk space exhaustion attack



## **RMAN Immutable Backups to OCI**

## 23c: Security Passwords

- Increased Oracle Database Password Length
  - With 23c, Oracle supports passwords up to **1024 bytes** in length.
  - For previous releases, the password length and the secure role password length could be up to 30 bytes.
- Oracle Data Pump Export and Import Support for Longer Database User Passwords
  - Oracle Data Pump can export and import database users that have passwords up to **500 characters** long.



# 23c: Changes in Encryption Algorithms and Default Modes

## Encryption algorithm changes:

- The default column encryption for both AES and ARIA is now 256.
  - The previous default for TDE column encryption was AES192.
  - For TDE tablespace encryption, the default was AES128.
- The decryption libraries for the GOST and SEED algorithms are deprecated.
- Recovery Manager (RMAN) integrity check for column encryption keys now uses SHA512 instead of SHA1.
  - The keys for Oracle RMAN and column keys are now derived from SHA512/AES for key generation.
  - Previous releases used SHA-1/3DES as a pseudo-random function.
- **Transport Layer Security (TLS) 1.3 Protocol** Now Supported in Oracle Database



# Quarantine for Execution Plans for SQL Statements

- **SQL statements terminated** due to excessive CPU and I/O **can be quarantined**
- **Execution Plans** for above SQL **are Quarantined**
- Enable/Disable a quarantine configuration:
- DBMS\_SQLQ.ALTER\_QUARANTINE procedure
- Drop & Alter Quarantined Configuration (*unused quarantined configs deleted 53 weeks*):
- DBMS\_SQLQ.**DROP**\_QUARANTINE & DBMS\_SQLQ.**ALTER**\_QUARANTINE
- **DBA\_SQL\_QUARANTINE** - details of quarantine configurations
- V\$SQL & GV\$SQL to get execution plan details of quarantined SQL

## 23c: SQL Firewall

- **Build an allow-list of SQL statements** that a typical application user performs, and then detects, blocks, and logs any unexpected SQL.
- Detect anomalies and prevent SQL injection attacks
- SQL Firewall examines all SQL, including **session context information such as IP address and OS user** to enforce protection
- SQL Firewall logs and (if enabled) blocks unauthorized SQL.
  - SQL Firewall is embedded into the database kernel, ensuring that it cannot be bypassed.
- By enforcing an **allow-list of SQL** and approved session contexts, SQL Firewall can prevent many zero-day attacks.
- You can use SQL Firewall in both the root and a pluggable database (PDB).
- Query the **DBA\_SQL\_FIREWALL\_VIOLATIONS** data dictionary view for violations that SQL Firewall enforced for the user to find any abnormal access pattern or unauthorized SQL.
- Can export and import SQL Firewall metadata, including existing allow-lists, by using the Oracle Data Pump

## 23c: SQL Firewall Packages and Views

- Enable SQL Firewall: EXEC **DBMS\_SQL\_FIREWALL.ENABLE**;
  - DBMS\_SQL\_FIREWALL.CREATE\_CAPTURE
  - DBMS\_SQL\_FIREWALL.START\_CAPTURE
  - DBMS\_SQL\_FIREWALL.STOP\_CAPTURE
  - DBMS\_SQL\_FIREWALL.GENERATE\_ALLOW\_LIST
  - DBMS\_SQL\_FIREWALL.ENABLE\_ALLOW\_LIST
  - DBMS\_SQL\_FIREWALL.ADD\_ALLOWED\_CONTEXT
- **DBA\_SQL\_FIREWALL\_\*** data dictionary views:
  - DBA\_SQL\_FIREWALL\_VIOLATIONS
  - DBA\_SQL\_FIREWALL\_ALLOWED\_SQL
  - DBA\_SQL\_FIREWALL\_ALLOWED\_OS\_PROG
  - DBA\_SQL\_FIREWALL\_CAPTURE\_LOGS

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